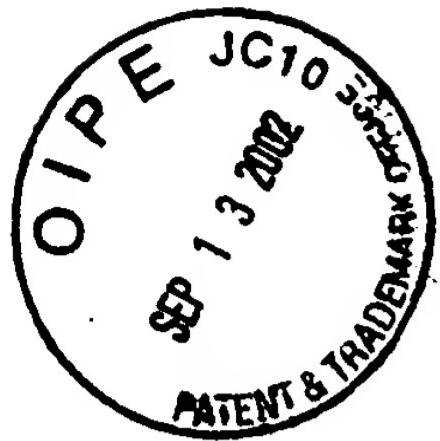


6P213 / #<sup>C</sup>  
BT

9-20-02

Rev. 04/01

Docket No. CF/017



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Phillip M. Ginsberg

Application No.: 09/843,093 Confirmation No.: 8423

Filed : April 26, 2001

For : METHODS AND SYSTEMS FOR ACCESSING  
FINANCIAL PROSPECTUS DATA

Group Art Unit : 2131

Examiner : Not yet assigned

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Technology Center 2100

New York, New York  
September 11, 2002

Commissioner for Patents  
P.O. Box 2327  
Arlington, VA 22202

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TRANSMITTAL LETTER FOR  
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

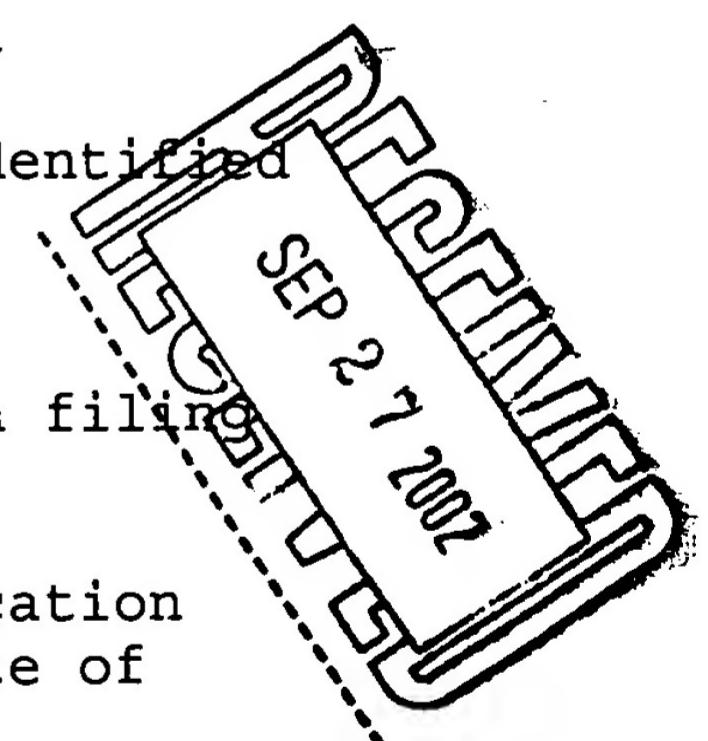
Sir:

**GROUP 3600**

Transmitted herewith is a Supplemental  
Information Disclosure Statement in the above-identified  
application. This Statement is submitted:

- within three months of the application filing date;
- more than three months from the application filing date but before the mailing date of the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of  
this Statement requires no fee. However, if for any reason  
a fee is due, the Director is hereby authorized to charge  
payment of any fees required in connection with this  
Information Disclosure Statement to Deposit Account



No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,

Andrew Van Court

Andrew Van Court  
Registration No. 48,506  
Agent for Applicant  
FISH & NEAVE  
Customer No. 1473  
1251 Avenue of the Americas  
New York, New York 10020-1104  
Tel.: (212) 596-9000

I hereby Certify that this  
Correspondence is being  
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COMMISSIONER FOR  
FISHES P.O. BOX 2327  
Arlington, VA 22202 on

September 11, 2002

COURTESY J. STANLEY VAN GOODMAN

Andrew Van Court  
Signature of Person Signing



CF/017

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Phillip M. Ginsberg  
Application No.: 09/843,093 Confirmation No.: 8423  
Filed : April 26, 2001  
For : METHODS AND SYSTEMS FOR ACCESSING  
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GROUP 3600

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,  
applicant wishes to call the attention of the Examiner to  
the following documents:

Foreign Patents

EPO	0 042 599 A2	12/30/81
EPO	0 354 260 A1	02/14/90
Germany	40 42 297 A1	07/18/91
JP	8-142538	06/04/96
JP	10-203051	08/04/98

These documents are listed on the accompanying Form PTO-1449 (submitted in duplicate) and copies are enclosed herewith.

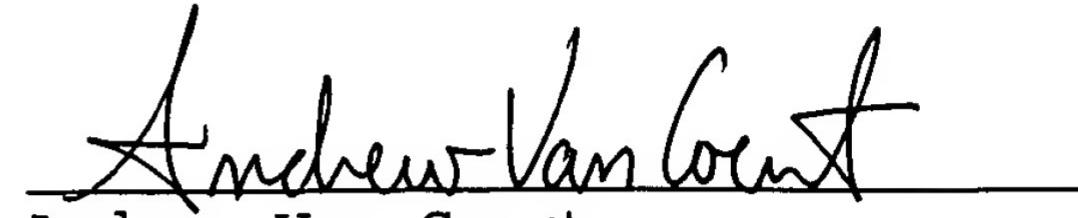
Certification Pursuant  
to 37 C.F.R. § 1.97(e)

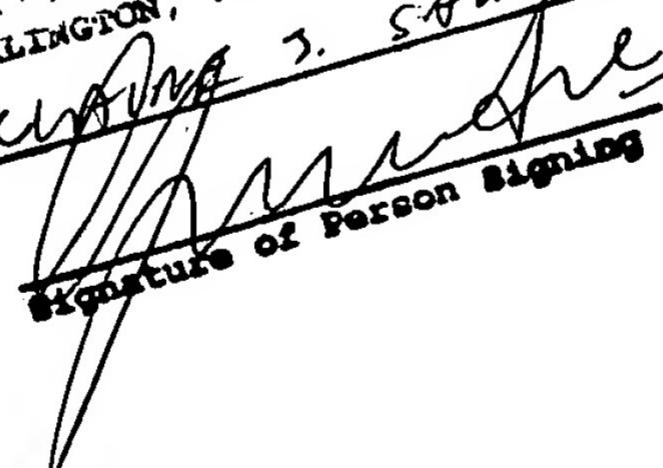
The information contained in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement. In particular, these references were cited in the August 8, 2002 European Search Report on applicant's corresponding application 02252884.8. A copy of that Search Report is enclosed herewith. In accordance with 37 C.F.R. §1.97(c)(1), submission of this Statement requires no fee.

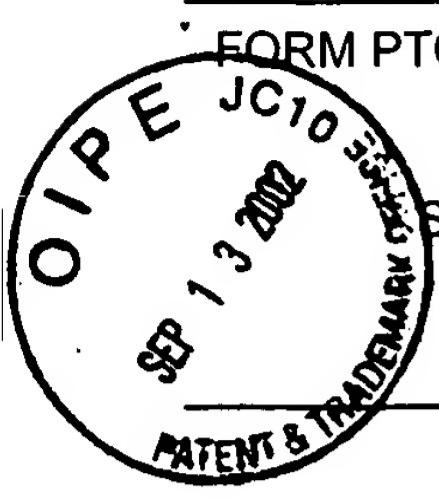
It is respectfully requested that these documents be: (1) fully considered by the Patent and Trademark Office during the examination of this application; and (2) printed on any patent which may issue on this application. Applicant requests that a copy of Form PTO-1449, as considered and initialized by the Examiner, be returned with the next communication.

Consideration of the foregoing in relation to this  
patent application is respectfully requested.

Respectfully submitted,

  
Andrew Van Court  
Andrew Van Court  
Registration No. 48,506  
Agent for Applicant  
FISH & NEAVE  
Customer No. 1473  
1251 Avenue of the Americas  
New York, New York 10020-1104  
Tel.: (212) 596-9000

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ARLINGTON, VA 22202 on September 11, 2002  
Cynthia J. Sommer - via hard copy  
  
Signature of Person Signing



FORM PTO-1449 JC, 10 13 2000 TRADEMARK OFFICE	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	DOCKET NO. CF-017	APPLICATION NO. 09/843,093
	SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT	APPLICANT Phillip M. Ginsberg	CONFIRMATION NO. 8423
		FILING DATE April 26, 2001	GROUP 2131

## U.S. PATENT DOCUMENTS

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SEP 17 2002

## **FOREIGN PATENT DOCUMENTS**

Technology Center 2100

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	0 042 599 A2	12/30/81	EPO	G06K	19/04		X
	0 354 260 A1	02/14/90	EPO	G06F	15/21		
	40 42 297 A1	07/18/91	Germany	G06K	17/00		X
	8-142538	06/04/96	Japan	B42D	1/00		X
	10-203051	08/04/98	Japan	B42D	11/00		X

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

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# GROUP 3600

EXAMINER

**DATE CONSIDERED**

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.